

## Prüfzusammenfassung/ Test Summary



Battery  
Systems

### Revision

Grund der Änderung	Index	Datum	Bearbeitung
Erstellung der Prüfzusammenfassung nach UN38.3	1.0	06.04.2020	T. Kowalski/C.Wendt/ A.Wittke
Update der KION-Baugruppen (BG2.0, BGs mit 120Ah)	1.1	30.07.2021	A.Wittke
Update der KION-Baugruppen BG10.6/10.7	1.2	16.04.2022	A. Wittke

**Name des Zellen- Batterie oder Produktherstellers**

*Name of the cell, battery or product manufacturer*

KION Battery Systems GmbH

**Kontaktinformationen des Zellen-, Batterie- oder Produktherstellers**

*Contact information of the cell, battery or product manufacturer*

Zeche Gustav 1, Unit 3  
D-63791 Karlstein am Main  
Germany  
Phone: +49 (0)6188 9929-0

Email: info@kion-batterysystems.com

**Name des Prüflabors, inkl. Aller Kontaktinformationen**

*Name of the testing laboratory, including all contact information*

Batteryuniversity GmbH  
Am Sportplatz 30  
D-63791 Karlstein am Main  
Phone: +49 6188 - 99410-0  
Email: mail@bu-lab.eu

Test engineer: H.-P. Grimm, T. Emge  
Approved by: D. Hennefeld, Laboratory Manager

**The requirements of UN38.3 Rev. 5 Amend2 (T1) conducted by Test Laboratory:**

Intertek Deutschland GmbH  
Innovapark 20  
87600 Kaufbeuren  
Phone: +49 8341 9556 0  
Email: germany@intertek.com

Test Engineer: M. Lombardini  
Approved by: R. Renecke, Lead Engineer

**The requirements of UN38.3.3 (g) conducted by the following Test Laboratory partly:**

University of applied sciences Aschaffenburg  
Laboratory of power electronics  
Wuerzburgerstraße 45  
63743 Aschaffenburg  
Phone: +49 6021 42060  
Email: info@th-ab.de

Test Engineer: M. Mund, J. Katschner  
Approved by: Lead Engineer T. Kowalski, J. Büdel

**The requirements of UN38.3 Rev. 5 Amend1 conducted by Test Laboratory:**

Samsung SDI(Ulsan) : 689-701, Samnam-myeon, Ulju-gun, Ulsan, Korea  
Phone: +82-55-380-2334  
Email: sdimaster@samsung.com

Samsung SDI(Giheung): 428-5 Gongse-dong, Giheung-gu, Yongin-si, Gyeonggi-do, Korea  
Phone: +82-31-8006-3100  
Email: sdimaster@samsung.com

**Eindeutige Prüfberichtsidentifikationsnummer**  
*unique test report identification number*

**Module tests for 48,75V batteries: T1-T7**

Test Samples:25078  
No. BU.2015-03365-0-UN  
Test Report-No Intertek Deutschland GmbH: 2223777KAU-001

**Module tests for 90V batteries: T1-T5; T8**

Test Samples: 32318  
No. BU-2017-04942-1-B1  
No. BU-201800236-B1

**Module tests for 90V batteries: T1-T5;**

Test Samples: 609729 (same for 609737)  
No. BU-202000226-B1

**Battery assembly tests for 48,75V batteries: T5; T7-T8**

Test Sample: KAU1508041024-001  
No. 2226501KAU-001

Test Sample: 02C02211L02  
No. 2016/0507

**Battery assembly tests for 90V batteries: T2; T5**

Test Sample: 03B04872L01  
No. Bu-2018-09036-0-B1

Test Sample: 04C08672L01  
No. 2018/05/BMZ\_001

**Cell tests for 60 Ah cells: T1-T6; T8**

Test Sample: CE0600R0001D  
Test Report-No Samsung SDI: UN38\_3 Certification 60Ah

**Cell tests for 94 Ah cells: T1-T6; T8**

Test Sample: CS0940R0001A  
Test Report-No Samsung SDI: UN38\_3 Certification 94Ah

**Cell tests for 120 Ah cells: T1-T6; T8**

Name of Test Sample: CS1200R 3.66V 120Ah 439Wh  
Test Sample No.: 1116120459  
Test Report-No Samsung SDI: UN38\_3 Certification 120Ah

**Datum des Prüfberichts**

*Date of the test report*

Date of Issue of BU.2015-03365-0-UN: 18.Aug.2015  
Date of issue of #2223777KAU-001 : 21.May 2015  
Date of issue of BU-201800236-B1: 13.Sep.2019  
Date of issue of #2226501KAU-001:31.May2016  
Date of issue of #Bu-2018-09036-0-B1:29.Jan.2018

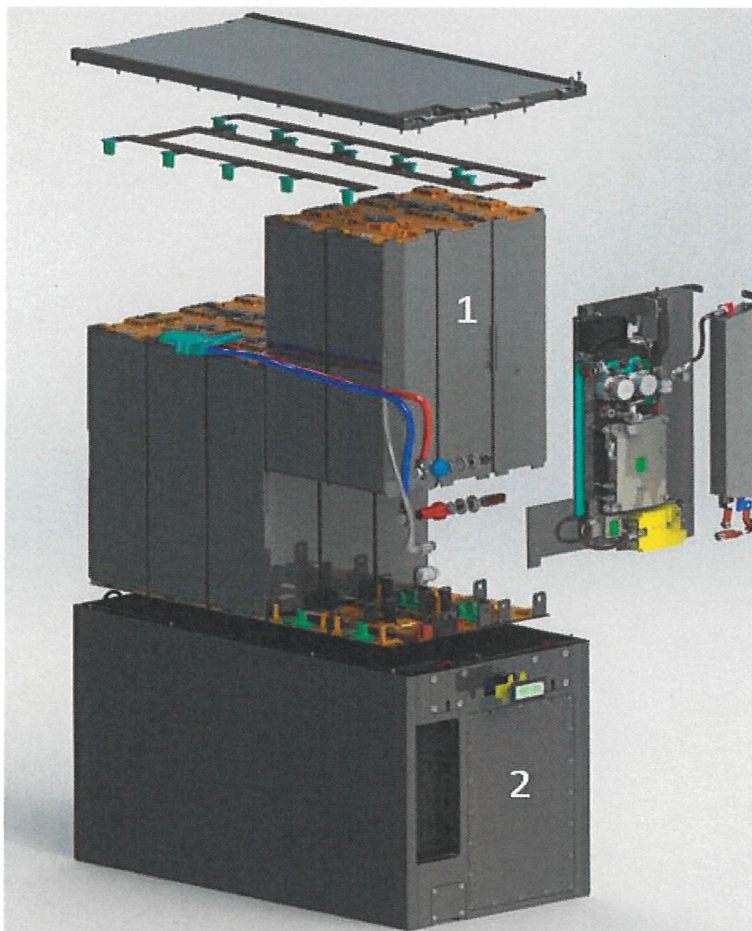
Date of issue of #2016/0507: 28.Jan.2016  
Date of issue of #2018/05/BMZ\_001: 11.May.2018  
Date of issue of BU-202000226-B1: 21. Oct. 2020  
Date of issue of BU-202000080-B1: 14. Apr. 2020  
Date of Issue of UN38\_3 Certification 60Ah : 24. June 2011  
Date of Issue of UN38\_3 Certification 94Ah : 23. Feb. 2015  
Date of Issue of UN38\_3 Certification 12S 120Ah : 21. October 2020  
Date of Issue of UN38\_3 Certification 13S 120Ah : 14. April 2020

#### **Detaillierte Beschreibung der Zelle oder Batterie**

##### *detailed description of the cell or battery*

Identification: Lithium Ion Battery  
Chemical System: Lithium NMC/Graphite  
Rechargeable: YES

The design of the battery consists of the battery moduls (numbered 1), which are connected electrically to a battery assembly (numbered 2) in the picture below. The drawing is only a example of one battery type.



### Battery assemblies

B-P-N Number (battery label)	Nominal voltage	Nominal capacity	Nominal energy	Number of modules	Module type	Weight +/- 5%
xxxxx11xxx	48,75 V	268,0 Ah	13,1 kWh	4 modules	25078	708 kg
xxxxx12xxx	48,75 V	804,0 Ah	39,2 kWh	12 modules	25078	708 kg
xxxxx13xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	708 kg
xxxxx14xxx	47,7 V	360,0 Ah	17,2 kWh	3 modules	611415	708 kg
xxxxx15xxx	47,7 V	600,0 Ah	28,6 kWh	5 modules	611415	708 kg
xxxxx16xxx	47,7 V	1080,0 Ah	51,5 kWh	9 modules	611415	708 kg
xxxxx20xxx	48,75 V	268,0 Ah	13,1 kWh	4 modules	25078	856 kg
xxxxx21xxx	48,75 V	268,0 Ah	13,1 kWh	4 modules	25078	856 kg
xxxxx22xxx	48,75 V	1005,0 Ah	49,0 kWh	15 modules	25078	856 kg
xxxxx23xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	856 kg
xxxxx24xxx	47,7 V	360,0 Ah	17,2 kWh	3 modules	611415	856 kg
xxxxx25xxx	47,7 V	600,0 Ah	28,6 kWh	5 modules	611415	856 kg
xxxxx26xxx	47,7 V	1080,0 Ah	51,5 kWh	9 modules	611415	856 kg
xxxxx31xxx	48,75 V	335,0 Ah	16,3 kWh	5 modules	25078	856 kg
xxxxx32xxx	48,75 V	938,0 Ah	45,7 kWh	14 modules	25078	856 kg
xxxxx33xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	856 kg
xxxxx34xxx	47,7 V	360,0 Ah	17,2 kWh	3 modules	611415	856 kg
xxxxx35xxx	47,7 V	600,0 Ah	28,6 kWh	5 modules	611415	856 kg
xxxxx36xxx	47,7 V	1080,0 Ah	51,5 kWh	9 modules	611415	856 kg
xxxxx41xxx	48,75 V	201,0 Ah	9,8 kWh	3 modules	25078	750 kg
xxxxx42xxx	48,75 V	804,0 Ah	39,2 kWh	12 modules	25078	939 kg
xxxxx43xxx	48,75 V	804,0 Ah	39,2 kWh	12 modules	25078	1119 kg
xxxxx44xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	1119 kg
xxxxx51xxx	90 V	268,0 Ah	24,1 kWh	8 modules	25441 29124	1210 kg
xxxxx52xxx	90 V	670,0 Ah	60,3 kWh	20 modules	25441 29124	1210 kg
xxxxx53xxx	88,3 V	940,0 Ah	83,0 kWh	20 modules	32318 32317	1210 kg
xxxxx54xxx	90 V	469,0 Ah	42,2 kWh	14 modules	25441 29124	1210 kg
xxxxx55xxx	88,1 V	360,0 Ah	31,7 kWh	6 modules	609737 609739	1210 kg
xxxxx56xxx	88,1 V	600,0 Ah	52,8 kWh	10 modules	609737 609739	1210 kg
xxxxx57xxx	88,1 V	1080,0 Ah	95,1 kWh	18 modules	609737 609739	1210 kg
xxxxx58xxx	see Update EG 2021/07			18 modules	609737 609739	1210 kg
xxxxx61xxx	90 V	268,0 Ah	24,1 kWh	8 modules	25441 29124	1558 kg
xxxxx62xxx	90 V	670,0 Ah	60,3 kWh	20 modules	25441 29124	1558 kg
xxxxx63xxx	88,3 V	940,0 Ah	83,0 kWh	20 modules	32318 32317	1558 kg
xxxxx64xxx	90 V	469,0 Ah	42,2 kWh	14 modules	25441 29124	1558 kg
xxxxx65xxx	88,1 V	360,0 Ah	31,7 kWh	6 modules	609737 609739	1558 kg

B-P-N Number (battery label)	Nominal voltage	Nominal capacity	Nominal energy	Number of modules	Module type	Weight +/- 5%
xxxxx66xxx	88,1 V	600,0 Ah	52,8 kWh	10 modules	609737 609739	1558 kg
xxxxx67xxx	88,1 V	1080,0 Ah	95,1 kWh	18 modules	609737 609739	1558 kg
xxxxx71xxx	90 V	402,0 Ah	36,2 kWh	12 modules	25441 29124	2178 kg
xxxxx72xxx	88,3 V	1316,0 Ah	116,2 kWh	28 modules	32318 32317	2178 kg
xxxxx73xxx	90 V	737,0 Ah	66,3 kWh	22 modules	25441 29124	2178 kg
xxxxx74xxx	88,3 V	846,0 Ah	74,7 kWh	18 modules	32318 32317	2178 kg
xxxxx75xxx	88,1 V	480,0 Ah	42,3 kWh	8 modules	609737 609739	2178 kg
xxxxx76xxx	88,1 V	840,0 Ah	74,0 kWh	14 modules	609737 609739	2178 kg
xxxxx77xxx	88,1 V	1440,0 Ah	126,8 kWh	24 modules	609737 609739	2178 kg
xxxxx78xxx	88,1 V	960,0 Ah	84,6 kWh	16 modules	609737 609739	2178 kg
xxxxx79xxx	88,1 V	1440,0 Ah	126,8 kWh	24 modules	609737 609739	2178 kg
xxxxx81xxx	48,75 V	335,0 Ah	16,3 kWh	5 modules	25078	856 kg
xxxxx82xxx	48,75 V	938,0 Ah	45,7 kWh	14 modules	25078	856 kg
xxxxx83xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	856 kg
xxxxx91xxx	48,75 V	335,0 Ah	16,3 kWh	5 modules	25078	1013 kg
xxxxx92xxx	48,75 V	938,0 Ah	49,0 kWh	15 modules	25078	1013 kg
xxxxx93xxx	48,75 V	536,0 Ah	26,1 kWh	8 modules	25078	1013 kg
xxxxx94xxx	47,7 V	335,0 Ah	17,2 kWh	3 modules	611415	856 kg
xxxxx95xxx	47,7 V	938,0 Ah	28,6 kWh	5 modules	611415	856 kg
xxxxx96xxx	47,7 V	536,0 Ah	51,5 kWh	9 modules	611415	856 kg
xxxx106xxx	88,1 V	840,0 Ah	74,0 kWh	14 modules	609737 609739	1458 kg
xxxx107xxx	88,1 V	1440,0 Ah	126,8 kWh	24 modules	609737 609739	1458 kg
xxxx131xxx	47,7 V	360,0 Ah	17,2 kWh	3 modules	611415	750 kg
xxxx141xxx	47,7 V	600,0 Ah	28,6 kWh	5 modules	611415	939 kg
xxxx142xxx	47,7 V	840,0 Ah	40,1 kWh	7 modules	611415	939 kg
xxxx151xxx	47,7 V	600,0 Ah	28,6 kWh	5 modules	611415	1119 kg
xxxx152xxx	47,7 V	840,0 Ah	40,1 kWh	7 modules	611415	1119 kg

According Regulation (EC) No 1907/2006 (REACH) a safety data sheet must be provided for substances and preparations only. Batteries are not affected by the requirements of this regulation.

#### Liste der durchgeführten Prüfungen und Ergebnisse

##### List of tests performed and results

##### Test Specification UN 38.3:

UN Transportation Test:

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (ST/SG/AC.10/11/Rev.5, Amend.2)

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (ST/SG/AC.10/11/Rev.6, Amend.1)

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (ST/SG/AC.10/11/Rev.7, Amend.1)

Performed Tests: Modul/Battery

T.1 Altitude Simulation (Subcontracto	passed
T.2 Thermal Test	passed
T.3 Vibration	passed
T.4 Shock	passed
T.5 External Short Circuit	passed
T.6 Impact/Crush	Not performed
T.7 Overcharge	passed
T.8 Forced discharge	Not performed

Performed Tests: Cell (by cell manufacturer)

T.1 Altitude Simulation
T.2 Thermal Test
T.3 Vibration
T.4 Shock
T.5 External Short Circuit
T.6 Impact/Crush
T.7 Overcharge
T.8 Forced discharge

**Verweis auf Prüfanforderungen für zusammengesetzte Batterien**

***Reference to test requirements for composite batteries***

General requirements for the admittance of Lithium cells and batteries for Transportation:

each cell or battery is of the type proved to meet the requirements of each test of the Manual of Test and

- a. Criteria, Part III, sub-section 38.3;
- b. each cell and battery incorporates a safety venting device or is designed to preclude a violent rupture under normal conditions of carriage;
- c. each cell and battery is equipped with an effective means of preventing external short circuits; each battery containing cells or series of cells connected in parallel is equipped with effective means as
- d. necessary to prevent dangerous reverse current flow (e.g. diodes, fuses, etc.)
- e. cells and batteries shall be manufactured under a quality management program that includes:
  1. a description of the organizational structure and responsibilities of personnel with regard to design and product
  1. quality  
the relevant inspection and test, quality control, quality assurance , and process operation instruction that will
  2. be used;  
process control that should include relevant activities to prevent and detect internal short circuit failure during
  3. manufacture of cells;  
quality records, such as inspection reports, test data, calibration data and certificates. Test data shall be kept
  4. and made available to the competent authority upon request;
  5. management reviews to ensure the effective operation of the quality management program;
  6. a process for control of documents and their revision;
7. a means of control of cells or batteries that are not conforming to the type tested as mentioned in (a) above;
8. training programs and qualification procedures for relevant personnel; and
9. procedures to ensure that there is no damage to the final product.

**All requirements for the admittance of Lithium cells and batteries for Transportation are fulfilled**

Assembly combination for each voltage class:

	<u>48,75V batteries</u>	<u>90V batteries</u>
(i) Overcharge	passed	passed
(ii) Short circuit	passed	passed
(iii) Over discharge	passed	passed

**Verweis auf die verwendete überarbeitete Ausgabe des Handbuchs über Prüfungen und Kriterien und etwaige Änderungen dazu**

***Reference to the revised edition of the Manual of Tests and Criteria used and any amendments thereto***

Recommendations of the TRANSPORT OF DANGEROUS GOODS, Manual of Tests and Criteria, Part III, section 38.3, Lithium metal and lithium ion batteries

UN Transportation Test 13S1P PHEV-1 #25078-03

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (ST/SG/AC.10/11/Rev.5, Amend.2)

UN Transportation Test 12S1P PHEV-1 #32318-01

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (UN ST/SG/AC10/11/Rev.6, Amend.1)

UN Transportation Test 12S1P PHEV-1 #609737 and #609729

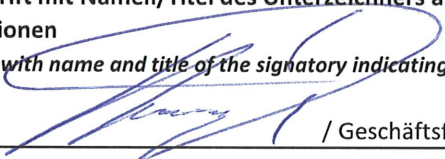
UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (UN ST/SG/AC10/11/Rev.7, Amend.1)

UN Transportation Test 13S1P PHEV-1 #41543-00 and #41544-00

UN Manual of Tests and Criteria, Part III, Section 38.3 - Lithium batteries (UN ST/SG/AC10/11/Rev.6, Amend.1)

**Unterschrift mit Namen/Titel des Unterzeichners als Hinweis auf Gültigkeit der bereitgestellten Informationen**

*Signature with name and title of the signatory indicating the validity of the information provided*

  
/ Geschäftsführer KBS